

Compliance Calendar for TRQ Holders

PERIODIC COMPLIANCES		
Sr. No.	Compliance Description	Due Date
1.	Submission of re-notification application with relevant declaration as specified by IIBX along with certified copy of the DGFT valid TRQ license/certificate for the relevant FY/period specified by DGFT in the TRQ license/certificate (applicable for existing India-UAE TRQ Holders notified by the IFSCA)	As specified by the Exchange from time to time

DAILY COMPLIANCES		
Sr. No.	Compliance Description	Due Date
1.	Payment of Exchange Dues	Within three days from the date of receipt of Invoice from the Exchange

INSTANCE BASED APPROVAL		
Sr. No.	Compliance Description	Due Date
1.	Change in Director	Prior Approval
2.	Change in Shareholding without change in control	Prior Approval
3.	Change in Shareholding with change in control	Prior Approval
4.	Change in Name with/without change in Constitution	Intimation to the Exchange with process for approval of the IFSCA
5.	Change in Registered Address	Intimation to the Exchange